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# Contribution of Beta-Lactamases and Efflux Pumps to Multidrug Resistance in *Pseudomonas aeruginosa* Isolates from ICU Patients in Northeast Brazil

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### 26 Abstract

27 *Pseudomonas aeruginosa* is an opportunistic pathogen with high clinical relevance in intensive care units (ICU) due to its elevated resistance to various antimicrobials, which 28 lead to high morbidity and mortality in patients in critical situations. In this study, we 29 30 aimed to detect variants of genes encoding  $\beta$ -lactamases and efflux pumps in P. 31 *aeruginosa* isolates resistant to  $\beta$ -lactams, fluoroquinolones and aminoglycosides. All 32 genes belonging to the subfamilies were included in this study: *bla*<sub>SHV</sub>, *bla*<sub>TEM</sub>, *bla*<sub>NDM</sub>,  $bla_{\rm KPC}$ ,  $bla_{\rm GES}$ ,  $bla_{\rm CTX-M}$ . In addition, we investigated the most relevant variants of the 33  $bla_{OXA}$  subfamily and genes belonging to the efflux pumps of the Mex family. We 34 35 tested 54 isolates of *P. aeruginosa* with a high prevalence of resistance to the 36 antimicrobials piperacillin/tazobactam, ceftazidime, cefepime, imipenem and meropenem. Resistance genes related to carbapenems and spectrum β-lactamases 37 38 extended were found, which included *bla*<sub>KPC</sub> genes (81.49%), *bla*<sub>CTXM-2</sub> (72.22%) and

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39  $bla_{CTXM-1}$  (66.66%). In relation to the presence of Mex family efflux pumps genes, 40 100% of positivity were detected. These findings suggest that *P. aeruginosa* isolates 41 exhibit an arsenal of genes encoding  $\beta$ -lactamases able to induce phenotypic patterns of 42 resistance to several antimicrobials commonly used as first-line treatment.

43 **Key words:** *P. aeruginosa*; Antimicrobial Resistance;  $\beta$ -lactamases genes; efflx pumps

44 genes; Intensive Care Unit.

### 45 Author Summary

46 Since the introduction of the use of antimicrobials, resistance to antimicrobials has been growing and becoming a global public health problem, as it leads to ineffective 47 48 treatment and an increased risk of mortality. P. aeruginosa is included in the World 49 Health Organization (WHO) critical list of bacteria that have a higher rate of resistance 50 to antimicrobials, requiring constant epidemiological investigation of the strains, 51 especially in hospital environments, to correctly approach them. In this work, we used a methodology that detects 740 variants of different classes of  $\beta$ -lactamases to evaluate 52 53 the genotype of the study strains against the phenotype found. We evidenced a high prevalence of strains carrying genes related to carbapenems and extended-spectrum  $\beta$ -54 55 lactamases, demonstrating a correlation with the phenotypes. Furthermore, we found a 56 100% positivity rate among the efflux pumps tested belonging to the MEX family.

57 **Introduction** 

Antimicrobial-resistant Gram-negative bacteria (GNB) are of particular concern worldwide due to their high morbidity and mortality, particularly in intensive care units (ICUs) [1]. The emergence and spread of microbial resistance are global problems that has profound consequences for public health and the economy [2].

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Due to the selective pressure exerted by the frequent use of antimicrobials and the long stay of patients with compromised immune systems, infections related to multidrug-resistant (MDR) microorganisms are prevalent in ICU facilities [3,4]. Healthcare-associated infections (HAIs) caused by MDR are considered a threat to public health, as they limit or even make available therapeutic options unfeasible, which increases the severity and, consequently, the morbidity and mortality rates of those affected, increasing patient length of stay and hospital costs [1].

69 In this scenario, *Pseudomonas aeruginosa*, an important opportunistic Gram-70 negative pathogen that causes a variety of infectious diseases, has acquired resistance to several antimicrobials in recent times [5,6]. According to the latest antimicrobial 71 resistance surveillance report from the European Center for Disease Prevention and 72 73 Control (ECDC), who evaluated *P. aeruginosa* isolates from 2013 to 2016, the average of P. aeruginosa isolates with combined resistance (resistance to three or more 74 antimicrobial groups, including carbapenems) was 12.9% [7]. Furthermore, a study 75 76 carried out in Singapore observed a percentage of 11.5% of health-related infections 77 caused by *P. aeruginosa*, in which 23% of these isolates were resistant to carbapenems [8]. P. aeruginosa is included in the "critical" category of the World Health 78 79 Organization (WHO) priority list of bacterial pathogens for which research and development of new antimicrobials are urgently needed [1]. 80

81 The main mechanisms involved in antimicrobial resistance in *P. aeruginosa* 82 strains are enzymatic mechanisms, where  $\beta$ -lactamases stand out, and efflux pumps. 83 [5,9]. *P. aeruginosa* isolates contain a variety of  $\beta$ -lactamases, such as the 84 carbapenemases KPC, GES, IMP, VIM, NDM and SPM, and are distributed throughout 85 the world [10,11,12].

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Efflux pumps are related to resistance to several antimicrobials, particularly those belonging to the AcrAB-TolC and Mex pump families belonging to the nodulation-division resistance superfamily (RND). Efflux pumps not only mediate intrinsic and acquired microbial resistance, but are also involved in other functions, including bacterial stress response and pathogenicity [13,14,15].

Based on this, we sought to detect variants of genes encoding  $\beta$ -lactamases and efflux pumps, belonging to the RND superfamily, in *P. aeruginosa* isolates resistant to  $\beta$ -lactams, fluoroquinolones and aminoglycosides isolated from patients admitted to an intensive care ward a tertiary care health unit in the city of Fortaleza-CE, with the purpose of tracking the resistance profile of the strains isolated.

### 96 Material and methods

### 97 Obtaining bacterial isolates and identification

98 For the following study, 259 samples were collected from patients admitted to the clinical ICU of a tertiary care health unit in the city of Fortaleza-CE in the period 99 100 from April 23, 2019 to May 29, 2021, who presented an infectious condition (defined 101 by the attending ward physicians) involving different anatomical sites. Those 102 microorganisms that were identified as Gram-negative bacteria, resistant to one or more 103 groups of antimicrobials were included:  $\beta$ -lactams, fluoroquinolones, aminoglycosides, 104 macrolides, and glycopeptides. These samples were made available for study upon 105 approval by the research ethics committee of the health unit in question (n° 3.143.258), in which the data were anonymized before accessing them, with the need for consent 106 107 being waived by the ethics committee in question.

With the aim of diagnosing potential etiological agents, blood culture samples and other noble liquids were inoculated in specific bottles and incubated in the equipment BacT/Alert® 3D (BioMérieux, Marcy l'Etoile, France). The other biological

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materials were sown in specific culture media using a qualitative or quantitative sowing
technique, in order to obtain isolated colonies. Plates were incubated at 37±2°C for 1824 hours.

The bacterial isolates were identified and tested for their susceptibility to 114 antimicrobials using the automated method VITEK® 2 Compact (BioMérieux, Marcy 115 116 l'Etoile, France), according to the manufacturer's recommendations. Minimum inhibitory concentrations were interpreted according to the Clinical and Laboratory 117 Standards Institute [16] and with the Brazilian committee on antimicrobial susceptibility 118 testing [17,18]. For quality control of sensitivity tests, strains from the American Type 119 Culture Collection (ATCC) were used. Specimens that had a resistance profile that fit 120 the research objectives were included in the study. 121

### 122 Selection of analyzed strains

123 A total of 258 isolates were analyzed, among which those identified as *P*. 124 *aeruginosa* were included in this study. Strains of *P. aeruginosa* resistant to one or 125 more groups of  $\beta$ -lactam antimicrobials, fluoroquinolones and aminoglycosides were 126 then used to investigate their genetic resistance profile. MDR was defined as multidrug 127 resistant to  $\geq 1$  agent in  $\geq 3$  classes of antimicrobials and extending drug resistance 128 (XDR) as drug susceptibility to  $\leq 2$  classes of antimicrobials agents.

## 129 Extraction of bacterial DNA

The isolates maintained in trypticase soy broth were incubated overnight in a bacteriological oven at 37°C, at 200 rpm, for subsequent extraction of bacterial DNA. To extract genetic material, the Wizard Genomic DNA Purification extraction and purification kit was used (Promega, Madison, USA), according to the manufacturer's recommendations.

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After extraction, all samples were quantified by spectrophotometry using the NanoDropTM 2000 (Thermo Fisher Scientific, Waltham, Massachusetts, USA) and stored in a -80°C freezer until used in the experiments.

138 Detection of resistance-related genes by molecular biology

The selected strains of *P. aeruginosa* were analyzed by real-time PCR (qPCR) using QuantStudio 3® (Applied Biosystems, Massachusetts, USA), using specific primers, for the detection of 13 genes encoding efflux pumps (**Table 1**) and 14 genes encoding  $\beta$ -lactamases described by Nogueira and collaborators [19]. Reactions were standardized using positive controls and negative controls (milliQ water) to determine the most efficient qPCR conditions.

Efflux pumps	Gene	Function	Primers	Primer sequence (5'-3')	Product	At (°C)
					size (bp)	
	mexA	membrane fusion	MexA F	GACAAGTGGCTGGTTACCGA	96	63
MexAB-OprM		protein	MexA R	CACGGTCTTCACCTCGACAC		
	mexB	Inner membrane	MexB F	GTCGATTCCGTTCTCGGTGA	158	65
		transporter	MexB R	ACTCCACGATGAGAATGGCG		
	mexC	membrane fusion	MexC F	CGTGCAATAGGAAGGATCGG	104	60
MexCD-OprJ		protein	MexC R	TCCACCGGCAACACCATTT		
L. L	mexD	Inner membrane	MexD F	TACACCCTGATCCCGTCCAT	170	64
		transporter	MexD R	ATGATCCGCTCGACGTTCTC		
MexEF-OprN	mexE	membrane fusion	MexE F	CTGAGCTTCACCCGGATCAC	140	64

145	Table 1	<b>Description</b>	of genes	related to	encoding	efflux	pumps.
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		protein	MexE R	GCGTCGAAGTAGGCGTAGAC		
	mexF	Inner membrane	MexF F	TCATCAAGGTCAGCGACACC	169	64
		transporter	MexF R	CACTCGTAGGTCATGCCGTT		
	mexG	membrane	MexG F	TGCAGCGCTTCATCGATAACT	120	64
MexGHI-OnmD		protein	MexG R	GGCTGGCCTGATAGTCGAAC		0.
inenerii opine	mexH	membrane fusion	MexH F	TCATCAAGGTCAGCGACACC	124	65
		protein	MexH R	CACTCGTAGGTCATGCCGTT		
	mexV	membrane fusion	MexV F	TACTGTTCCTTTCCGGCGAC	170	61
MexVW-OprM		protein	MexV R	TTCGCTTTTCGAGATGGCCT		
1	mexW	membrane	MexW F	CCTCGGTCTACATCGGCATC	181	65
		protein	MexW R	CCGAGGGTCTTCACCACTTC		
	mexX	membrane	MexX F	TGCTGTTCCAGATCGACCCT	127	60
MexXY-OprM		protein	MexX R	TCCTTGATCAGGTCGGCGTA		
····· • • • • • • • • • • • • • • • • •	mexY	membrane fusion	MexY F	GTCAACCAAATGACCGCCAC	134	65
		protein	MexY R	ATGTTGTAGCTCACGCCCTC		

146 At= Annealing temperature; Bp=Base pairs; Primer F = Forward; Primer R = Reverse

### 147 Data Analysis

Fisher's test was used to compare the proportion of occurrence of a variable between groups. The confidence interval was 95% and the test was considered statistically significant when P < 0.05, using the IBM Statistical Package for the Social Sciences Version 21 program (SPSS Statistics Software, Nova York, EUA).

152 **Results** 

### 153 **Prevalence of** *P. aeruginosa* in the study

154	In this study, 258 Gram-negative bacteria were isolated. Among these isolates
155	(20.9%, 54/258) were identified as P. aeruginosa, which were isolated from different
156	anatomical sites of hospitalized patients. Most of the P. aeruginosa strains were isolated
157	from tracheal aspirate (74.1%, 40/54), followed by blood samples (11.11%, 6/54).
158	bronchoalveolar lavage (7.40 %, 4/54), abdomen cavity (1.85%, 1/54), tissue fragment
159	(1.85%, 1/54), sacral pressure ulcer (1.85%, 1/54) and tendon (1.85%, 1/54).

### 160 Antimicrobial resistance phenotype tested in *P. aeruginosa* isolates

Fifteen antimicrobials from different classes were tested, in which P. 161 162 aeruginosa isolates obtained a resistance rate of 100% to cefoxitin (32/32), ceftriaxone (25/25), cefuroxime axetil (32/32) and tigecycline (32/32), confirming the 163 intrinsic resistance of this pathogen to these antimicrobials. In addition, most of the P. 164 aeruginosa isolates were also resistant to piperacillin/tazobactam (74.4%, 32/43), 165 ceftazidime (63.4%, 33/52) and cefepime (63.4%, 33/52), imipenem (61.2%, 30/49), 166 meropenem (56.2%, 27/48), ciprofloxacin (54.9%, 28/51), gentamicin (41.5%, 22/53) 167 and amikacin (28.3%, 15/53) (Figure 1). Interestingly, none of the isolates 168 demonstrate a phenotype of resistance to colistin, ceftazidime/avibactam and 169 170 ceftolozone/tazobactam.

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**Figure 1.** Prevalence of *P. aeruginosa* isolates resistant to β-lactam antimicrobials (A) and aminoglycosides, quinolones and glycylcycline (B) evaluated, demonstrating a phenotypic profile of resistance to multiple antimicrobials in more than 50% of the isolates, with the exception of colistin, ceftazidime/ avibactam, ceftolozone/ tazobactam, gentamicin and amikacin.

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The tested isolates of *P. aeruginosa* obtained a percentage of (61.11%, 33/54) for MDR microorganisms, followed by a percentage of (55.5%, 30/54) for XDR microorganisms and a percentage of (46.2%, 25/54) for carbapenem-resistant microorganisms.

### 181 Detection of resistance genes in *P. aeruginosa* isolates by qPCR

For the detection of genes encoding β-lactamases, the highest percentage found was the  $bla_{\text{KPC}}$  gene (81.49%, 44/54), followed by  $bla_{\text{CTXM-2}}$  with (72.22%, 39/54),  $bla_{\text{CTXM-1}}$  (66.66%, 36/54),  $bla_{\text{CTXM-5}}$  (59.25%, 32/54),  $bla_{\text{SHV}}$  (40.74%, 22/54),  $bla_{\text{TEM}}$  and  $bla_{\text{OXA-23-like}}$  (38.89%, 21/54),  $bla_{\text{NDM}}$  (29.6%, 16/54),  $bla_{\text{GES}}$  and  $bla_{\text{OXA-51-like}}$  (24%, 13/54),  $bla_{\text{OXA-24/40-like}}$  (20.4%, 11/54),  $bla_{\text{CTXM-3}}$  (11.11%, 6/54),  $bla_{\text{CTXM-4}}$  (9.26%, 5/54) and there was no detection of the  $bla_{\text{OXA-48-like}}$  gene in the isolates (**Figure 2**).

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**Figure 2.** Prevalence of β-lactam resistance genes among *P. aeruginosa* isolates, grouped according to the Ambler classification, (A) in which there is a high detection rate for class A ESBL ( $bla_{CTX-M}$  do clade 2, 1 and 5 respectively;  $bla_{SHV}$ ;  $bla_{TEM}$ ), (B) marked presence of the  $bla_{KPC}$  carbapenemase, (C) in addition to the identification of  $bla_{OXA-23-like}$ ,  $bla_{OXA-51-like}$  and  $bla_{OXA-24/40-like}$  commonly associated with *Acinetobacter baumannii* strains.

### 196 Association of the presence of resistance genes with the resistance phenotype

197 The association between the prevalence of the 14 genes encoding  $\beta$ -lactamases 198 and the  $\beta$ -lactam resistance phenotype identified in *P. aeruginosa* isolates was 199 evaluated. The distribution of the percentage of genes detected in the isolates tested

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200	for the antimicrobials cefepime and ceftazidime showed a higher positivity rate for
201	the genes $bla_{\text{KPC}}$ (82.7%, 43/52), $bla_{\text{CTXM-2}}$ (75%, 39/52), $bla_{\text{CTXM-1}}$ (69.2%, 36/52),
202	$bla_{CTXM-5}$ (61.5%, 32/52) and $bla_{SHV}$ (42.3%, 22/52). The presence of the $bla_{TEM}$ (P=
203	0.017; OR 5.66; 95% CI 1.38-23.21) and <i>blaOXA-23</i> -like (P=0.041; OR 3.98; 95% CI
204	1.08 - 14.58) genes were associated with phenotypic resistance to the antimicrobials
205	cefepime and ceftazidime (Table 2).

**Table 2** Percentage of positivity of genes encoding  $\beta$ -lactamases in *P. aeruginosa* isolates in the groups resistant and susceptible to the antimicrobials cefepime and ceftazidime.

Coding genes	Total	Resistant	Susceptible	Develop	OD	050/ 01
β-lactamases	N=52(%)	N=33(%)	N=19(%)	P valor	UK	95% CI
<i>bla</i> <sub>KPC</sub>	43 (82.7)	27 (81.8)	16 (84.2)	1.0000	0.84	0.18 - 3.85
bla <sub>CTXM-2</sub>	39 (75)	25 (75.8)	14 (73.7)	1.0000	1.11	0.30 - 4.07
bla <sub>CTXM-1</sub>	36 (69.2)	24 (72.7)	12 (63.2)	0.5405	1.55	0.46 - 5.20
bla <sub>CTXM-5</sub>	32 (61.5)	19 (57.6)	13 (68.4)	0.5579	0.62	0.19 - 2.05
$bla_{ m SHV}$	22 (42.3)	13 (39.4)	9 (47.37)	0.3969	0.58	0.18 - 1.82
bla <sub>OXA-23-like</sub>	21 (40.4)	17 (51.5)	4 (21)	0.041*	3.98	1.08 – 14.58
$bla_{\rm TEM}$	20 (38.5)	17 (51.5)	3 (15.8)	0.017*	5.66	1.38-23.21
bla <sub>NDM</sub>	16 (30.7)	10 (30.3)	6 (31.6)	1.0000	0.94	0.27 – 3.19
bla <sub>GES</sub>	13 (25)	8 (24.2)	5 (26.3)	1.0000	0.89	0.24 - 3.27

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bla <sub>OXA-51-like</sub>	13 (25)	11 (33.3)	2 (10.5)	0.0987	4.25 0.82 - 21.79
bla <sub>OXA-24/40-like</sub>	10 (19.2)	8 (24.2)	2 (10.5)	0.2925	2.72 0.51 - 14.42
bla <sub>CTXM-3</sub>	6 (11.5)	3 (9)	3 (15.8)	0.6563	0.53 0.09 - 2.95
bla <sub>CTXM-4</sub>	5 (9.3)	4 (12.1)	1 (5.3)	0.6410	2.48 0.25 - 24.02
bla <sub>OXA-48-like</sub>	0	0	0	0	0 0

### 209 OR= *Odds ratio*; CI= Confidence interval.

The most frequently identified genes in the isolates tested for the antimicrobial piperacillin in combination with the  $\beta$ -lactamase inhibitor tazobactam were  $bla_{\rm KPC}$ ,  $bla_{\rm CTXM-2}$ ,  $bla_{\rm CTXM-1}$ ,  $bla_{\rm CTXM-5}$ ,  $bla_{\rm SHV}$  and  $bla_{\rm OXA-23-like}$  with percentages of 81.4% (35/43), 74.4% (32/43), 67.4% (29/43), 65.1% (28/43), 41.8% (18/43) and 41.8% (18/43), respectively. There was no statistical significance between the genes studied and the phenotype.

The percentage of positivity of the genes encoding  $\beta$ -lactamases detected in the isolates tested for the antimicrobial imipenem indicated a higher positivity rate for the genes *bla*<sub>KPC</sub>, *bla*<sub>CTXM-2</sub>, *bla*<sub>CTXM-1</sub>, *bla*<sub>CTXM-5</sub> and *bla*<sub>SHV</sub> with percentages of 79.6% (39/49), 71.4% (35/49), 67.3% (33/49), 57.1% (28/49) and 38.7% (19/49), respectively. The presence of the *bla*<sub>TEM</sub> gene achieved statistical significance with phenotypic resistance to the antimicrobial imipenem (P=0.018; OR 5.33; 95% CI 1.28-22.20) (**Table 3**).

Table 3 Percentage of positivity of genes encoding β-lactamases in *P. aeruginosa*isolates in the resistant and susceptible groups to the antimicrobial imipenem.

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Coding genes	Total	Resistant	Susceptible	Duelon	OD	059/ CI
β-lactamases	N=49(%)	N=30(%)	N=19(%)	r valor	UK	95% CI
<i>bla</i> <sub>KPC</sub>	39 (79.6)	24 (80)	15 (78.9)	1.0000	1.06	0.25 - 4.41
bla <sub>CTXM-2</sub>	35 (71.4)	20 (66.6)	15 (78.9)	0.5185	0.53	0.13 - 2.03
bla <sub>CTXM-1</sub>	33 (67.3)	19 (63.3)	14 (73.7)	0.5412	0.61	0.17 - 2.18
bla <sub>CTXM-5</sub>	28 (57.1)	15 (50)	13 (68.4)	0.2467	0.46	0.13 - 1.53
$bla_{ m SHV}$	19 (38.7)	10 (33.3)	9 (47.3)	0.3774	0.55	0.17 - 1.80
bla <sub>OXA-23-like</sub>	18 (36.7)	13 (43.3)	5 (26.3)	0.3621	2.14	0.61 - 7.48
$bla_{\text{TEM}}$	18 (36.7)	15 (50)	3 (15.7)	0.018*	5.33	1.28 - 22.20
<i>bla</i> <sub>NDM</sub>	15 (30.6)	7 (23.3)	8 (42.1)	1.0000	0.87	0.27 – 2.81
bla <sub>OXA-51-like</sub>	12 (24.5)	10 (33.3)	2 (10.5)	0.0948	4.25	0.81 - 22.14
$bla_{\rm GES}$	12 (24.5)	6 (20)	6 (31.6)	0.4975	0.54	0.14 - 2.02
bla <sub>OXA-24/40-like</sub>	8 (16.3)	6 (20)	2 (10.5)	0.4583	2.12	0.38 - 11.83
bla <sub>CTXM-3</sub>	5 (10.2)	3 (10)	2 (10.5)	1.0000	0.94	0.14 - 6.25
bla <sub>CTXM-4</sub>	3 (6.1)	3 (10)	0	0.2730	4.96	0.24 - 101.7
bla <sub>OXA-48-like</sub>	0	0	0	0	0	0

# 225 OR= *Odds ratio*; CI= Confidence interval.

226 The distribution of the percentage of positivity of the genes encoding  $\beta$ -227 lactamases detected in the isolates tested for the antimicrobial meropenem showed a 228 higher rate for the genes  $bla_{\rm KPC}$ ,  $bla_{\rm CTXM-2}$ ,  $bla_{\rm CTXM-1}$ ,  $bla_{\rm CTXM-5}$  and  $bla_{\rm SHV}$  with

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229	percentages of 79.6% (39/49), 71.4% (35/49), 67.3% (33/49), 57.1% (28/49) and 38.7%
230	(19/49), respectively. The presence of $bla_{\text{TEM}}$ (P= 0.034; OR 4.57; 95% CI 1.21-17.23)
231	and <i>bla</i> <sub>OXA-51-like</sub> (P=0.043; OR 5 .58; 95% CI 1.06 – 29.20) genes achieved statistical
232	significance with phenotypic resistance to the antimicrobial meropenem (Table 4).

**Table 4** Percentage of positivity of genes encoding  $\beta$ -lactamases in *P. aeruginosa* 

isolates in the resistant and susceptible groups to the antimicrobial meropenem.

Coding genes	Total	Resistant	Susceptible	P valor	OR	95% CI
β-lactamases	N=48(%)	N=27(%)	N=21(%)	i valor	<b>U</b> K	<b>7570 CI</b>
$bla_{\rm KPC}$	38 (79.1)	21 (77.7)	17 (80.9)	1.0000	0.82	0.19 - 3.40
bla <sub>CTXM-2</sub>	35 (72.9)	18 (66.6)	17 (80.9)	0.3377	0.47	0.12 - 1.81
bla <sub>CTXM-1</sub>	35 (72.9)	19 (70.3)	16 (76.2)	0.7502	0.74	0.20 - 2.72
bla <sub>CTXM-5</sub>	28 (58.3)	13 (48.1)	15 (71.4)	0.1437	0.37	0.11 – 1.24
$bla_{\rm SHV}$	21 (43.7)	10 (37)	11 (52.4)	0.3820	0.53	0.16 - 1.70
bla <sub>OXA-23-like</sub>	19 (39.5)	13 (48.1)	6 (28.6)	0.2369	2.32	0.69 - 7.79
<i>bla</i> <sub>TEM</sub>	18 (37.5)	14 (51.8)	4 (19)	0.034*	4.57	1.21 – 17.23
<i>bla</i> <sub>NDM</sub>	16 (33.3)	7 (25.9)	9 (42.8)	0.2373	0.46	0.13 – 1.58
bla <sub>OXA-51-like</sub>	12 (25)	10 (37)	2 (9.5)	0.043*	5.58	1.06 – 29.20
$bla_{\rm GES}$	13 (27)	6 (22.2)	7 (33.3)	0.5161	0.57	0.15 - 2.06
bla <sub>OXA-24/40-like</sub>	8 (16.6)	6 (22.2)	2 (9.5)	0.4371	2.71	0.48 - 15.11
bla <sub>CTXM-3</sub>	6 (12.5)	3 (11.1)	3 (14.3)	1.0000	0.75	0.13 - 4.16

medRxiv preprint doi: https://doi.org/10.1101/2024.04.23.24306233; this version posted September 19, 2024. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted medRxiv a license to display the preprint in perpetuity. It is made available under a CC-BY-ND 4.0 International license . 14 2 (4.2) 0.4973 4.21 0.19 - 92.73 2(7.4)0 bla<sub>CTXM-4</sub> 0 0 0 0 0 0 bla<sub>OXA-48-like</sub> 235 OR= Odds ratio; CI= Confidence interval.

236 The genes that encode the efflux pumps of the MEX family were evaluated by operon, in which the distribution of the percentage of positivity found in the tested 237 238 isolates was 100% for the genes MEX-AB, MEX-EF, MEX-GH, MEX-VW, MEX-XY 239 and followed by a percentage of 96.3% for the MEX-CD gene. No statistical tests were performed regarding efflux pumps due to the high positivity of the genes. 240

### 241 Discussion

P. aeruginosa is an opportunistic pathogen with considerable clinical importance 242 in intensive care units, mainly due to its high resistance to several antimicrobials, 243 244 making effective treatment impossible, leading to high morbidity and mortality in these patients in critical situations [20]. 245

246 This study found a prevalence of 20.9% of colonization by this microorganism, 247 among Gram-negative isolates resistant to at least one antimicrobial, which represents a 248 similar percentage with other studies, as demonstrated in a report issued by ANVISA 249 [21], where P. aeruginosa was the third most isolated microorganism among Gram-250 negative bacteria reported in bloodstream infections, with a percentage of 16.2%. In the 251 United States, Sader and collaborators, analyzing samples of Gram-negative bacteria 252 isolated from ICU and non-ICU patients, collected in 70 hospital centers, from 2018 to 2020, found a prevalence of 23.5% of *P. aeruginosa* isolated in patients admitted to 253 254 ICU [22]. Dunphy and collaborators carried out a study in outpatient and hospital 255 environments in regions of Virginia, United States, over a period of one year (2019-256 2020), collecting several samples, in which they identified a prevalence of 60% of P.

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*aeruginosa* isolates from different anatomical sites, analyzing 971 isolates collected
from 590 patients [23], in which these studies demonstrate a variability in the detection
rate of this pathogen.

In this study, the largest number of *P. aeruginosa* isolates was obtained through bronchial lavage secretions with a percentage of 74.1%, similar results were reported in other studies in which *P. aeruginosa* was the most isolated pathogen in lung secretions (bronchial lavage and tracheal aspirate) [23,24]. Respiratory infections caused by this pathogen are quite common, especially in hospital environments, and may be related to the handling of invasive procedures on patients, such as tracheal intubation, aspirations and the need for mechanical pulmonary ventilation [11,20].

In order to identify the phenotypic profile of antimicrobial resistance of *P*. *aeruginosa* strains isolated in the hospital environment, antimicrobials from different classes were tested, in which the highest resistance rate of *P*. *aeruginosa* isolates was 100% for cephalosporins of second and third generation cefoxitin, ceftriaxone and cefuroxime axetil. Several studies corroborate this result demonstrating that the only third generation cephalosporin with action on strains of *P*. *aeruginosa* is ceftazidime [25,26].

In agreement with the findings of this study, research carried out in the United States by Sader and collaborators [22] on *P. aeruginosa* strains collected over a twoyear period and a study carried out in Rio de Janeiro over a 20-year period [27], found similar results for the antimicrobials piperacillin with tazobactam, ceftazidime, cefepime and meropenem in strains of *P. aeruginosa*. These studies indicated a growing increase in the rate of resistance to antimicrobials over the years.

Regarding third-generation cephalosporins in combination with β-lactamases
inhibitors (ceftazidime with avibactam and ceftolozone with tazobactam), in our study

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282 there was no detection of the resistance phenotype. This result is in line with what was 283 expected since ceftolozone with tazobactam is an antipseudomonal antimicrobial that 284 acts on multidrug-resistant strains of P. aeruginosa [28,29]. The antimicrobial 285 ceftazidime with avibactam acts on multidrug-resistant P. aeruginosa strains that are ESBL, AmpC and KPC positive, as this inhibitor removes the serine residue that is the 286 287 active portion of the enzyme, however, these antimicrobials do not act on metallo  $\beta$ lactamases positive strains [30], indicating the action of  $\beta$ -lactamases inhibitors in light 288 289 of the high positivity of the presence of genes encoding KPC in our study.

290 The susceptibility rates obtained in our study are in agreement with studies that have reported good activity of ceftazidime associated with avibactam and ceftolozone 291 associated with tazobactam. López-Calleja and collaborators [31] carried out a study in 292 a university hospital located in Spain, in which they analyzed the susceptibility profile 293 294 of 12 MDR strains of P. aeruginosa and 117 XDR strains of P. aeruginosa against ceftolozone associated with tazobactam, and detected a susceptibility rate of 92.2% in 295 296 these isolates. In the same context, research carried out by the Global Antimicrobial 297 Testing Leadership and Surveillance Program, collected 2.521 clinical isolates of P. aeruginosa from 41 medical centers in 10 countries in Latin America, from 2017 to 298 299 2019, in which they found a rate of 86.9% of isolates susceptible to ceftazidime associated with avibactam [32]. Therefore, these studies corroborate our results, 300 showing that third-generation cephalosporins in combination with  $\beta$ -lactamases 301 302 inhibitors are a good therapeutic option.

In order to identify the presence and prevalence of genes encoding  $\beta$ -lactamases in the university hospital, we analyzed 14 genes, where the most prevalent gene was  $bla_{\text{KPC}}$  with 81.49% positivity in the isolates. In a study carried out by Hu and collaborators [33], researching strains of carbapenem-resistant *P. aeruginosa* isolated

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307 from the intestine, from 2014 to 2019, the presence of the  $bla_{KPC-2}$  gene was identified in 308 21.1% of the isolates. Likewise, a prospective and observational cohort study, carried 309 out from 2018 to 2019, analyzed 972 patients admitted with confirmed infection by 310 carbapenem-resistant *P. aeruginosa* in 44 hospitals, distributed across three continents, 311 identifying the presence of the  $bla_{\rm KPC-2}$  gene in 49% of isolates, demonstrating the 312 circulation of this gene that confers resistance to carbapenems in various parts of the 313 world [34]. Carbapenems have the widest spectrum of action for the treatment of 314 infections caused by multidrug-resistant bacteria, which is why the emergence and spread of carbapenemases has become a major public health problem. Our study 315 316 detected a rate well above the studies cited, as we used a  $bla_{\rm KPC}$  primer that detects 19 317 variants, expanding the detection of this  $\beta$ -lactamase, in contrast to just one variant that 318 the studies above investigated.

To understand the association of the  $\beta$ -lactam resistance phenotype with genes 319 320 related to  $\beta$ -lactamases, we correlated the phenotype and genotype and found a 321 statistical association between resistance to ceftazidime and cefepime with the presence 322 of the  $bla_{\text{TEM}}$  and  $bla_{\text{OXA-23-like}}$  genes. This can be explained by the fact that the  $bla_{\text{TEM}}$ 323 gene has several variants that are ESBLs, capable of hydrolyzing third and fourth 324 generation cephalosporins [35]. In this case, our study used primers that have the ability to detect 167 variants of the *bla*<sub>TEM</sub> gene, of which many of these variants are ESBLs. 325 Regarding the  $bla_{OXA-23-like}$  gene, which encodes an enzyme capable of hydrolyzing all 326 327 cephalosporins and carbapenems [36,37], was the first-class D carbapenemase to be discovered in a strain of Acinetobacter baumannii, in 1985, coincidentally the same 328 329 year that imipenem was approved for clinical use [38]. Genes belonging to the  $bla_{OXA}$ -330 23-like group can be transmitted by plasmids between species, in which studies have detected the role of plasmids and transposons in the dissemination of the bla<sub>OXA-23 gene</sub>, 331

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which has been found in *Acinetobacter* spp., *P. aeruginosa*, as well as species belonging
to the *Enterobacteriaceae* [36,39,40,41].

334 The presence of the *bla*<sub>TEM</sub> gene was also associated with resistance to 335 carbapenems, imipenem and meropenem; there is no previous information in the 336 literature that corroborates this result in *P. aeruginosa*. However, a study carried out by Han and colleagues [42], analyzing strains of A. baumannii resistant to carbapenems in 337 a university hospital in China, found an association between the presence of the  $bla_{\text{TEM}}$ 338 339 and  $bla_{OXA-23-like}$  genes with the carbapenem resistance phenotype. In research carried 340 out in an Iranian hospital, from 2015 to 2017, resistant strains of *P.aeruginosa* were isolated from patients with nosocomal and non-nosocomial infections and the presence 341 of the  $bla_{OXA-23-like}$  gene was identified in 11.19% of the isolates [43], evidencing the 342 343 circulation of the OXA group gene in species other than Acinetobacter.

Finally, the presence of the *bla*<sub>OXA-51-like</sub> gene was associated with resistance to 344 345 meropenem.  $bla_{OXA-51-like}$  encodes a carbapenemase belonging to Ambler class D, which 346 are enzymes intrinsic to A. baumannii and are found naturally in the chromosome of this 347 species [36], however, in 2009, Lee and colleagues reported the presence of the  $bla_{OXA}$ 51 gene in a non-Acinetobacter species, in which they identified this gene with an 348 349 ISAba1 insertion, which was plasmid-encoded and the surrounding sequences suggested that its origin was from A. baumannii. In this study, the enzyme encoded by  $bla_{OXA-51}$ 350 351 had the capacity to increase the minimum inhibitory concentration (MIC) of meropenem 352 by up to 256 times, thus conferring resistance to it [44]. However, there is only one study in the literature that reports the presence of the  $bla_{OXA-51}$  gene in *P. aeruginosa* 353 strains, which were isolated from outpatients in Maranhão, Brazil [45]. These studies 354 355 demonstrate the spread of the *bla*<sub>OXA-51</sub> gene beyond strains of *A. baumannii*, where this 356 gene was considered a marker for these species.

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357 Regarding the presence of Mex family efflux pumps in *P. aeruginosa* isolates, 358 our study showed a positivity of 96.3% for the gene that encodes the MexCD pump and 359 a positivity of 100% for the rest of the Mex pumps tested. There was no statistical 360 association of efflux pumps in relation to the resistance phenotype due to the high positivity in the isolates, but studies have shown that efflux pumps in *P. aeruginosa* are 361 among one of the main resistance mechanisms that lead to the emergence of MDR 362 363 strains and XDR. This primary resistance mechanism is responsible for reducing the 364 intracellular concentration of the drug to a subinhibitory concentration at which other 365 resistance mechanisms for specific classes can evolve and be selected, increasing antimicrobial resistance [46,47]. 366

The present study had some limitations, such as: susceptible strains of P. 367 368 *aeruginosa* were not included in the study for a more detailed epidemiological analysis, no research was carried out into the existence of clones of *P. aeruginosa* circulating in 369 370 the ICU, no sequencing was carried out positive controls for a more precise validation 371 of all variants that our method aims to detect and the isolates were not tested for all 372 antimicrobials in a homogeneous way due to clinical implications and procedures adopted by the hospital analyzed in the study. However, our work innovated in the use 373 374 of primers that could detect a greater number of genes encoding  $\beta$ -lactamases, thus expanding their diagnosis. 375

The *P. aeruginosa* strains isolated in this study showed a high rate of phenotypic resistance to several antimicrobials, in which these strains have a repertoire of genes encoding  $\beta$ -lactamases capable of inducing these phenotypic patterns of resistance to antimicrobials commonly used for these infections, making treatment difficult or even impossible for the patient.

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Percentage (%)



Percentage (%)



# Genes encoding extended-spectrum b-lactamases Ambler Class A



Percentage (%)





Percentage (%)